

HOLLARD MOÇAMBIQUE KYC FORM

This Hollard KYC questionnaire was prepared in accordance with the Law n. 14/2023 of 28th of August 2023 and its respective guidelines issued by the Insurance Regulator.			
Date:			
1. INDIVIDUAL CUSTOMER INFORMATION			
Full Legal Name:		<p>Valid Documents:</p> <p>Identity Card (National Individual Customers) Passport or DIRE (Foreign Individual Customers) Drivers licence, Voting Card Birth Card or Birth Certificate (Minors) or equivalent public document, considered by Hollard to be sufficient and presented by those demonstrating having the power to legitimately contract on the Minors behalf (Foreign Minors)</p> <p>Marriage Certificate (National Individual Customers) or equivalent public document if a Foreign Individual Customers</p> <p>Water, energy or other invoice that contains the address; or Neighborhood Declaration; or Identity card; or other accepted by Hollard</p>	
Date of Birth:	Gender: M F		
Naturality	Nationality		
Affiliation			
ID Type and Number	Place of Issuance		Date of Issuance
NUIT	Marital Status and Regime		
Residential Address:			
Mail Address (if different than the Residential Address):			
Telephone number:	Email address:		
2. Source of income			
<i>Source and amount of Funds: refers to the origin of the entire body of wealth</i>			
Employed	Savings	Company profits	

Self Employed (a self-declaration to be provided)	Sale of shares	Dividends from investments
Others:		
If employed, please complete		The following documents are required for confirmation: Letter from the employer attesting employment relationship, profession, type of contract and net monthly salary
Employer	Occupation	
Type of Contract	Monthly Net Salary	
What is the purpose of the Business Relationship with Hollard (including products requested)		
3. POLITICALLY EXPOSED PERSON (PEP) Please indicate the details of any person with in that holds a position of influence through their prominent position and is more susceptible to being involved in bribery or corruption. PEPs includes the roles held by a head of state, a head of government, government ministers, senior civil or public servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, members of the legislature and senior management (currently or within the past 2 years). Yes No If the answer is YES, please provide the information below		
Position:		
Institution:		

I declare that the information above is true, updated and correct.

Signature	
Date	

*To be completed by Hollard

Does the Customer appear to pose an overall low risk of ML/TF?	Yes No	(The answer to this question is YES if: i) The Customer’s occupation is not associated with a ML/TF High Risk Industry ¹ , ii) The Customer is not located in a High Risk Jurisdiction ² , iii) The Customer type is not considered High Risk for ML/TF purposes ³ and iv) The products requested by the Customer are considered Low Risk ⁴)
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4. ENHANCED DUE DILIGENCE

(This section applies to High Risk Customers or any Customer who, regardless of the CRR, has been identified as requiring EDD)

¹ Please refer to Annex VII “High Risk Industries” of Hollard’s AML/CTF Procedures

² Please refer to Annex VI “High Risk Jurisdictions” of Hollard’s AML/CTF Procedures

³ Please refer to Annex V “High Risk Customer/Entity type” of Hollard’s AML/CTF Procedures

⁴ Please refer to Annex III “Low Risk Non-Life Insurance Products” of Hollard’s AML/CTF Procedures

The Compliance Department may request the collection of any other information deemed necessary to address risks presented by the specific High-Risk Customer such as:

- Source of any assets over and above what is expected to be accumulated through the Customer's profession/occupation or business activity
- Description of the Customer's products and services, trade area, and location of business activities, primary suppliers, vendors, other counter parties and their location
- Anticipated transactional activity, including the value, frequency, geography, and types of transactions
- Source of funds used to finance de Insurance Policy

Non-face-to-face transactions, including the ones executed through the use of new technologies:

- Certification by competent Authorities of the identification documents presented by the Customer
- Additional documents that complement the information requested from presential Customers
- Direct contact with the Customer
- Presentation of a third party through an intermediary that performs Customer due diligence
- Payment of Insurance premiums through a bank account opened under the Customer's name

Politically Exposed Persons

- Identification of the nature of the PEP's position/office
- Identification of the PEP's duties
- Information concerning the PEP's access to significant government assets/funds
- Identification of the PEP's occupation or source of income (i.e., how the PEP covers living expenses)
- Information concerning the source of the assets and funds involved in the business relationship and respective transactions
- If the PEP is a family member of the individual entrusted with prominent public function, identification of the relationship to said individual

Date

Broker Direct

Entity:

Name and capacity of person completing this form:

Contact Number:

Signature: